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Docket No. CA998030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hamid Bacha
Serial No.: 09/459,239
Filed: December 10, 1999
For: System for Electronic Repository of Data Enforcing
Access Control on Data Retrieval
Art Group No.: 9885
Examiner: T. B. Truong

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

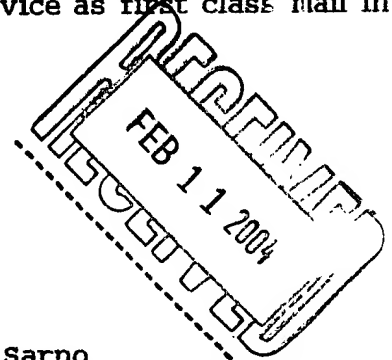
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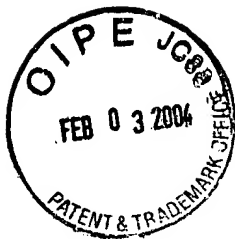


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IN THE U.S. PATENT & TRADEMARK OFFICE

Applicant: Hamid Bacha : GROUP ART UNIT 9885
Serial No. 09/459,239 : Examiner: T. B. Truong
Filed: December 10, 1999 : January 29, 2004
Title: System for Electronic : James E. Murray
Repository of Data Enforcing : 69 South Gate Drive
Access Control on Data : Poughkeepsie, N.Y. 12601
Retrieval :

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER (37 C.F.R. 1.97(c))

Commissioner for Patents
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Sir:

The attached Information Disclosure Statement is being made under 37 CFR §1.97(c) on information and belief based on a letter from IBM Japan dated December 16, 2003 indicating the patents were contained in a Japanese office action dated December 3, 2003. Therefore, this submission is made within three months of a communication from a foreign Patent Office.

- ☒ No Fee is required.
☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR §1.16 and 1.17, or credit any overpayment to Deposit Account No. 50-0510. A duplicate copy of this sheet is enclosed.

RESPECTFULLY SUBMITTED,

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*** Information Materials for IDS ***

JEM

LPH

To FOR IP Law Docket Number CA9-1998-0030 Date Dec/16/'03Prepared by Shinya MAYAMA Date of JPO Office Action Dec/3/'03**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

| Ref. | Patent Document No. or Title | Publication Date (MM/DD/YY) | English abs. or counterpart document available (Y/N) | JP claim(s) |
|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|------------------------------------------------------|-------------|
| A | PUPA 08-263441 | 10/11/'96 | Y | 1~17 |
| B | PUPA 10-124398 | 5/15/'98 | Y | 1~17 |
| C | PUPA 10-091427 | 4/10/'98 | Y | 1~17 |
| D | PUPA 08-084141 | 3/26/'96 | Y | 1~17 |
| E | Warwick Ford, Michael S. Baum, "Digital Signatures and Encryption. 1st Edition. Prentice Hall Press, p82-90, p90-95, p259-288 (The Japanese translated version) | 12/24/'97 | N | 1~17 |

<NOTE>

- ◇ PUPA : Published Unexamined Patent Application ◇ PEPA : Published Examined Patent Application to be continued ☐
 ◇ PUUMA : Published Unexamined Utility Model Application ◇ PEUMA : Published Examined Utility Model Application
 ◇ JP : Japanese Patent ◇ * : Reference being filed before and published after the priority application date of the subject docket

Background Art (The following reference(s) were cited but not applied to the JP claims.)

| Ref. | Patent Document No. or Title | Ref. | Patent Document No. or Title |
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| bgA | | bgD | |
| bgB | | bgE | |
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Comment, if any :

to be continued ☐

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